

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

99-082

Total Pages in this Submission  
111

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SYSTEM TO ESTABLISH A CUSTOMER-SPECIFIED PRICE OF A PRODUCT AND TO MANAGE REDEMPTION OF THE PRODUCT AT THE ESTABLISHED PRICE**

and invented by:

1.) Jay S. WALKER, 2.) Jose A. SUAREZ, 3.) Scott T. CASE, 4.) Michiko KOBAYASHI, 5.) Magdalena MIK  
6.) J hn M. PACKES Jr., 7.) Geoffrey M. GELMAN

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part(CIP) of prior application No.: 09/337,906

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part(CIP) of prior application No.: 08/889,589

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

## Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 66 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal                      Number of Sheets      35 (Figs. 1-27B)
- b. ☐ Informal                      Number of Sheets      \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy)      ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail (Specify Label No.): EL080833938US

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

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## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	57	- 20 =	37	x \$18.00	\$666.00
Indep. Claims	17	- 3 =	14	x \$78.00	\$1,092.00
Multipl Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$2,448.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$2,448.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Nandu A. Talwalkar  
Attorney for Applicants, Reg. No.: 41,339  
22927



22927

Dated: March 31, 2000

CC:

PATENT TRADEMARK OFFICE

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Jay S. WALKER et al.**

Docket No.

**99-082**

Serial No.

Not Yet Assigned

Filing Date

Herewith

Examiner

N/A

Group Art Unit

N/A

Invention: **SYSTEM TO ESTABLISH A CUSTOMER-SPECIFIED PRICE OF A PRODUCT AND TO  
MANAGE REDEMPTION OF THE PRODUCT AT THE ESTABLISHED PRICE**

I hereby certify that this **Patent Application***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
**March 31, 2000**

*(Date)***Nalini P. Sahadeo***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***EL080833938US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al. ) Customer No.: 22927  
 )  
 For: SYSTEM TO ESTABLISH A ) Examiner: Not Yet Assigned  
 CUSTOMER-SPECIFIED PRICE OF A )  
 PRODUCT AND TO MANAGE )  
 REDEMPTION OF THE PRODUCT )  
 AT THE ESTABLISHED PRICE )  
 )  
 Serial No.: Not Yet Assigned ) Group Art Unit: Not Yet Assigned  
 )  
 Filing Date: March 31, 2000 ) Docket No.: 99-082

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, DC 20231

## TRANSMITTAL LETTER

Dear Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Express Mailing No. EL080833938US;
2. Utility Patent Application Transmittal (in duplicate);
3. Combined Declaration and Power of Attorney (unsigned);
4. Patent Application (66 pgs.);
5. Formal Drawings (35 Figs. 1A-27B);
6. Postcard.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$2,448.00 to Deposit Account No. 50-0271, Order No. 99-082. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271, Order No. 99-082. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,




Nandu A. Talwalkar  
 Attorney for Applicants  
 PTO Reg. No. 41,339  
 Walker Digital Corporation  
 Five High Ridge Park  
 Stamford, CT 06905

March 31, 2000

## Certificate of Express Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail in an envelope labeled EL080833938US with sufficient postage addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231 on March 31, 2000.

Nalini P. Sahadeo		03/31/00
Typed Name of Person Making Deposit	Signature	Date of deposit

jc600 U.S. PTO  
 09/540709  
 03/31/00

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